

## CURRICULUM VITAE

NAME: NIRAJ GIRI  
DATE OF BIRTH: 26th August 1968 (B.S. 2025/05/11)  
PLACE OF BIRTH: Dogada Kedar Rural Municipality, Ward No - 3  
Baitadi, Sudurpaschim Province  
PRESENT ADDRESS: House Number 64 Bhupisherchan Marg, Ward No – 31  
Baneshwor, Kathmandu, Province 3, Nepal  
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FATHERS NAME: Jaya Ram Giri  
NATIONALITY: Nepali  
SEX: Male  
MARITAL STATUS: Married  
EDUCATION

Qualification	Institution	Year	Division
MBA	Tribhuvan University (Shanker Dev Campus)	1994	Second
B.Sc.	Tribhuvan University (Patan Multiple Campus)	1990	Second
I.Sc.	Tribhuvan University (Kathmandu Valley Campus)	1987	Second
GCE 'O' Level	Cambridge University (St. Xaviers High School)	1985	Second
SLC	Nepal Government Board ( St. Xaviers High School)	1985	First

## WORK EXPERIENCE

- a) 29 March, 2022 till retirement (14 June 2022): Executive Director, Regulation and Management Department, SEBON
- b) 24 August, 2021 to 28 March 2022: Executive Director, Research and Commodities Market Division

### **Major Responsibilities**

- Conduct studies on capital market and commodities market related issues.
  - Manage data on capital market
  - Coordinate with legal division in formulating rules regulations for the operation of commodities market.
- c) 16 June 2015 to 23 August, 2021: Executive Director, Regulation and Management Department, SEBON

As Executive Director had to oversee day to day functioning of Regulation, Legal and Management division.

### **Major Responsibilities:**

- Oversee licensing of securities business persons (stock broker, merchant banker, ASBA members and Depository participants.
  - Approval for Initial Public Offering (IPO), Further Public Offering (FPO), Right shares of public and listed public companies.
  - Overseeing the working of legal division, in a team drafting various rules and regulations regarding the capital market and forwarding to the Board and from there on to Ministry of Finance for its approval.
  - Oversee day to day administration of SEBON.
  - Assist Chairman in policy formulation activities, policy review and analysis.
- d) 8 November 2009 to 15 June 2015 : Director, Surveillance Department, SEBON

### **Major Responsibilities:**

- Monitoring of daily stock trading in the Nepal Stock Exchange Ltd. (NEPSE)
  - Manage Onsite and Offsite Supervision of NEPSE, CDS and Clearing Ltd. (CDSC) and Securities Business persons.
  - Manage supervision of listed companies.
  - Coordinate enforcement action if any, against NEPSE, CDSC and Securities Business Persons.
- e) 17 July, 2006 to 7 November 2009 : Director, Corporate Finance and Administration Department, SEBON
  - f) 20 September 1999 to 16 July, 2006 : Deputy Director, Corporate Finance and Administration Department, SEBON

- g) 30 January 1997 to 19 September 1999 : Officer, Primary Market Division, SEBON
- h) Board Secretary 24 August, 2008 to 22 April, 2021
- i) Spokesperson of Securities Board of Nepal from August 24, 2008 to June 14, 2022.
- j) Worked as member secretary in the Capital Market and Financial Governance Project implementation committee. The project automated the trading system of NEPSE in the year 2064 B.S.

### **TRAINING/SEMINAR/WORKSHOP**

- 23th Annual International Institute for Securities Enforcement and Market Oversight, Washington DC, USA, 28 October-1 November, 2019
- Commodities and Futures Trading Commission International Regulators Meeting, Florida, USA 11-19 March, 2018
- Australian Securities and Investment Commission observation visit from 1-8 July 2017
- Regional Seminar on Enforcement including Cross Border Trading" organized by Asian Development Bank China Securities Regulatory Commission from 18-26 July 2015 in Shanghai, China
- "Regional Seminar on Regulation and Supervision of Investment Funds" organized by Asian Development Bank and Financial Regulatory Commission of Mongolia from 1-5 September 2014 in Ulaanbaatar, Mongolia
- "Regional Seminar on Corporate Governance and Compliance" organized by Asian Development Bank and Capital Market Development Authority of Maldives from 7-11 April, 2014 in Male.
- "International Institute for Securities Market Development" organized by US Securities and Exchange Commission from 2 April to 13 April, 2013 in Washington DC, USA.
- "2010 Korea Capital Market Seminar" sponsored by Korea Financial Investment Association from Oct. 9 to 16, 2010 in Seoul, South Korea
- "Tokyo Seminar on Securities Market Regulation" sponsored by Financial Service Agency of Japan, OECD and Asian Development Bank Institute from 24 February to 4 March 2009 in Tokyo, Japan.
- "The 2008 Working Group Meeting on Investor Education in Asia" organized by Korea Securities Dealer Association and Korea Council for Investor Education from 30 October to 1 November, 2008, in Seoul, Korea.
- "South Asian Bond Market Conference" organized by World Bank from 25 to 27 September, 2008 in Hong Kong.

- "Tokyo Enforcement Seminar" sponsored by the Securities and Exchange Surveillance Commission, Japan from 6 to 10 February, 2006 in Tokyo, Japan
- "Methodology of Assessing Implementation of the Objectives and Principles Of Securities Regulations" organized by Securities and Exchange Board of India from 2 to 4 April, 2004 in Mumbai, India.
- "International Institute for Securities Market Development" organized by US Securities and Exchange Commission from 19 to 29 April, 2003 in Washington DC, USA.
- "Tokyo Seminar on Securities Market Regulation" sponsored by Financial Service Agency of Japan, OECD and Asian Development Bank Institute from 1 to 12 April, 2002 in Tokyo, Japan.
- "Development of Capital Market" sponsored by Korea Stock Exchange and Korea International Cooperation Agency from May 1 to 14, 2000, South Korea

PUBLICATION : Capital market related articles in SEBON Journal, SEJON Journal and various economy related newspapers.

FOREIGN VISIT : Bangladesh, Pakistan, Hong Kong, South Korea, India, South Africa, Japan, Singapore, Sri Lanka, Thailand, UK, USA, Australia, Switzerland, Philippines, Mongolia, China

LANGUAGE KNOWN : Nepali, English, Hindi